Low-lying excitations of quantum spin-glasses

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A 'Personal' Motivation



Ordered spin systems are easier



Hartree-Fock and Configuration Interaction Theory

Hartree-Fock approximation

$$|s_1\rangle \otimes \cdots \otimes |s_N\rangle \stackrel{\text{def.}}{=} |0\rangle$$

Wavefunctions of individual spins factorize.



SK model and its Hartree-Fock Approximation

Sherrington-Kirkpatrick (SK) model:

$$H = -\sum_{i>j} J_{ij} \sigma_i^z \sigma_j^z - \Gamma \sum_i \sigma_i^x.$$
(1)

 $\operatorname{Prob}(J_{ij}) = \operatorname{Gaussian}.$

Hartree-Fock wavefunction:

$$|0\rangle = \prod_{i=1}^{N} \binom{\alpha_i}{\beta_i}.$$
 (2)

We minimize

$$E^{\rm HF} = \langle 0|H|0\rangle, \tag{3}$$

with respect to $\{\alpha_i, \beta_i\}$, subjected to $\alpha_i^2 + \beta_i^2 = 1$.

HF Energy, HF Equations, and stability matrix

HF energy:

$$E^{\rm HF} = -\sum_{i>j} J_{ij} (\alpha_i^2 - \beta_i^2) (\alpha_j^2 - \beta_j^2) - 2\Gamma \sum_i \alpha_i \beta_i.$$
(4)

Stationary conditions, $\frac{\partial E^{\rm HF}}{\partial \alpha_i} = 0$ (HF equations):

$$\frac{2\Gamma(2\alpha_i^2 - 1)}{\sqrt{1 - \alpha_i^2}} - 4\alpha_i \sum_{a \neq i} J_{ia}(2\alpha_a^2 - 1) = 0.$$
 (5)

Paramagnetic solution: $\alpha_{\text{para}} = (1/\sqrt{2}, \cdots, 1/\sqrt{2}).$ Its stability:

$$\left. \frac{\partial^2 \boldsymbol{E}^{\rm HF}}{\partial \alpha_i \partial \alpha_j} \right|_{\alpha_{\rm para}} = 8(\Gamma \delta_{ij} - J_{ij}). \tag{6}$$

Transition into ordered phase: A HF description





Generating Excitations

Since H does not involve y-direction,

$$\sigma^{y} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \text{flips the spinor } \begin{pmatrix} \alpha \\ \beta \end{pmatrix}.$$
 (7)

To excite *i*th spin of $|0\rangle$,

$$|i\rangle = \sigma_i^{\gamma}|0\rangle. \tag{8}$$

To excite *i*th and *j*th spins of $|0\rangle$,

$$|ij\rangle = \sigma_i^{\gamma} \sigma_j^{\gamma} |0\rangle.$$
(9)

etc.

We generate a subspace spanned by $\{|0\rangle, |i\rangle, |ij\rangle\}$.

Configuration Interaction Matrix

truncated CI matrix

	$ 0\rangle$ $ i\rangle$ $ ij\rangle$	$ ijk angle \dots$
$ 0\rangle$	$\int E^{ m HF} \langle 0 H i \rangle \langle 0 H ij \rangle$	
$ i\rangle$	$\langle i H 0 angle \ \langle i H i angle \ \langle i H i angle$	
ij angle	$\langle ij H 0 angle \langle ij H i angle \ \langle ij H ij angle$	
ijk angle .	: : :	
dim. of matrix: O(N ²)		

Improvement of $E^{\rm CI}$ over $E^{\rm HF}$



Correction to extensive part of E_0







Energy gap

The first excited-state is quite complex...



We simplify by assuming $\nu = 1$ for all J_{ij} .

A Formula for the Energy Gap

Energy gap:

$$\Delta = E_1 - E_0 \tag{10}$$

Consider an 'excitation' operator A:

$$|E_1\rangle = A|E_0\rangle. \tag{11}$$

We define a generating function:

$$G(\gamma) = \langle E_0 | e^{-i\gamma A} H e^{i\gamma A} | E_0 \rangle.$$
(12)

Expanding $e^{\pm i\gamma A}$,

$$\gamma^{0}C_{0} + \gamma^{1}C_{1} - \frac{\gamma^{2}}{2}\langle E_{0}|HA^{2} + A^{2}H - 2AHA|E_{0}\rangle + O(\gamma^{3})$$
 (13)

Expanding $G(\gamma)$, and equating:

$$\Delta(|E_0\rangle, A) = \frac{1}{2} \frac{1}{\langle E_0 | A^2 | E_0 \rangle} \left. \frac{\partial^2 G}{\partial \gamma^2} \right|_{\gamma=0}.$$
 (14)

Only $|E_0\rangle$ is needed! Use approximate HF/CI wavefunctions. But how do we compute $\partial^2 G / \partial \gamma^2$?

Example: Let $|E_0\rangle = |0\rangle$. Let $A = A_1$.

Let

$$A = A_1 = \sum_{i=1}^{N} y_i \sigma_i^y, \tag{15}$$

y_i: parameters.

We want $G_1^{\text{HF}}(\gamma) = \langle \mathbf{0} | e^{-i\gamma A_1} H e^{i\gamma A_1} | \mathbf{0} \rangle.$

$$|\bar{0}\rangle = e^{i\gamma A_1}|0\rangle = \prod_i e^{i\gamma y_i \sigma_i^y} {\alpha_i \choose \beta_i} = \prod_i {\bar{\alpha}_i(\gamma) \choose \bar{\beta}_i(\gamma)}.$$
 (16)

So

$$G_{1}^{\rm HF}(\gamma) = \langle \bar{0} | H | \bar{0} \rangle = E^{\rm HF}(\bar{\alpha}_{i}(\gamma), \bar{\beta}_{i}(\gamma)).$$
(17)

Hence

$$\Delta_{1}^{\rm HF} = \frac{1}{2} \frac{\partial^{2} E^{\rm HF}(\gamma)}{\partial \gamma^{2}} = -8 \sum_{i \neq j} J_{ij} \alpha_{i} \alpha_{j} \beta_{i} \beta_{j} y_{i} y_{j} + \Gamma \sum_{i} \frac{y_{i}^{2}}{\alpha_{i} \beta_{i}}.$$
 (18)

Minimize $\Delta_1^{\rm HF}$ with respect to $\{y_i\}$ to obtain gap.

Small N: Comparing with full quantum



Average HF gap



Scaling of gap near critical point



Some speculations...

Complexity of gap in the glass phase...



Different *A*'s for different regimes?



'Hartree-Fock' annealing?



Possible merits of HF annealing

1. No operators are involved. Recall that for SK model

$$E^{\rm HF} = -\sum_{i>j} J_{ij} (\alpha_i^2 - \beta_i^2) (\alpha_j^2 - \beta_j^2) - 2\Gamma \sum_i \alpha_i \beta_i$$

 α_i, β_i are just numbers. Simpler than annealing \hat{H} itself.

- 2. Dependence on annealing parameter (Γ) is simple. Simpler than simulated annealing.
- 3. Hardware implementation of E^{HF} using a classical machine?